### Machine Learning Introduction and Exemplary Application in Embedded Wireless Platforms

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# Agenda

- Machine learning (ML) fundamentals
  - A brief history of artifical intelligence
  - The five tribes of machine learning
  - The tasks of ML algorithms
  - Regression as illustrative example
  - Deeper on neural networks
  - Generalized linear models
  - Theoritical machine learning
- Cognitive power control: ML in practice





# A brief history of artifical intelligence

- Inspired from 3 different fields (McCulloh and Pitts, 1943) [1]:
  - Functions of biological neurons
  - Formal analysis (Russel and Whitehead)
  - Theory of computation (Alan Turing)
- Computing Machinery and Intelligence (Alan Turing, 1950) [2]:
  - Turing test, machine learning, genetic algorithms and reinforcement learning
- Darmouth seminar (John McCarthy, 1956) [3]:
  - Artifical intelligence, Logic Theorist (LT) of Newell and Simon
- Perceptron (Frank Rosenblatt, 1962) [4]:
  - Convergence theorem (Block et al., 1962) [5]





# A brief history of artifical intelligence

- The AI failures or "AI winter":
  - Machine translation (1966) [6], automatic theorem proof, Lighthill report (1973) [7], limited representation capabilities of perceptrons
- Expert systems (1980 early 1990s):
  - DENDRAL program (Buchanan et al., 1969) [8], MYCIN program for blood diseases comparable to domain experts (450 simple rules) [9], first commercial success with XCON (1980)
  - Expensive and difficult to maintain
- Backpropagation [10]:
  - Steepest descent with chain rule (Bryson et al., 1969)
  - First neural network application (Werbos, 1982)





# A brief history of artifical intelligence

- Al as a science (1990s):
  - Methodology driven by rigourous statistical analysis (Cohen, 1995) [11]
  - Hidden Markov models (speech recognition), information theory (automatic translation), Bayesian networks (reasoning), support vector machines, random forest...
- Recent achievements:
  - Audio: speech recognition based on LSTM (Hochreiter, Schmidhuber and Gers), lip reading, audio generation
  - Image/video: OCR with CNN, cat network recognition in videos (2012) [12], self-driving cars
  - Generative adversarial networks, reinforcement learning
- Communications, data availability, computational power, better algos
- Does the AI world run on neural networks?



## The five tribes of machine learning

- Inspiration and source of knowledge:
  - Evolution, experience, culture, computers
- Paradigms of ML (Pedro Domingos, 2015) [13]:

Tribe	Origins	Master Algorithm		
Symbolists	Logic, philosophy	Inverse deduction		
Connectionnists	Neuroscience	Backpropagation		
Evolutionnaries	Evolutionary biology	Genetic programming		
Bayesians	Statistics	Probabilistic inference		
Analogizers	Psychology	Kernel machines		





# The tasks of ML algorithms

- Learning tasks:
  - Supervised: What is the best mapping function between inputs and outputs?
  - Unsupervised: What makes 2 samples similar?
  - Semi-supervised: Can we cluster unlabelled data and learn efficiently under this uncertainty?
  - Reinforcement learning: Given the rules and the goal to achieve, how can I optimize myself?
- Numerical data type:
  - Classification / regression
- Statistical data type:
  - Binary / categorical / ordinal / binomial / count / real-valued additive / real-valued multiplicative
- Multivariate and/or multidimensional





## Regression as illustrative example

- Roadmap:
  - Least square regression
  - Gradient descent
  - Maximum likelihood
  - Maximum a posteriori
  - Bayesian linear regression
  - Gaussian process





#### Least square regression

Machine learning fundamentals > Regression as illustrative example

 $D = \left\{ \left( \mathbf{x}^{(j)}, y^{(j)} \right) \right\}_{j=1}^{m}$ Target • Normal equations: Training set: Given Input  $\mathbf{x} \rightarrow h_{\boldsymbol{\theta}}(\mathbf{x}) = \mathbf{\theta}^T \mathbf{x} = \sum_{i=1}^{T} \theta_i x_i$  $\mathbf{X} = \begin{pmatrix} x_1^{(1)} & \cdots & x_n^{(n)} \\ \vdots & \ddots & \vdots \\ \vdots & \ddots & \vdots \\ \vdots & \ddots & \ddots \end{pmatrix} \qquad \mathbf{y} = \begin{pmatrix} y^{(1)} \\ \vdots \\ y^{(m)} \end{pmatrix}$ Hypothesis: •  $e(\mathbf{\theta}) = \frac{1}{2} \sum_{j=1}^{m} \left[ h_{\mathbf{\theta}} \left( \mathbf{x}^{(j)} \right) - y^{(j)} \right]^2$ Output • Cost function: , the analytical solution is  $\boldsymbol{\Theta} = (\mathbf{X}^T \mathbf{X})^{-1} \mathbf{X}^T \mathbf{y}$  $\boldsymbol{\theta}_{min} = \operatorname*{argmin}_{\boldsymbol{\theta}} e(\boldsymbol{\theta})$ **Objective:** 





#### Gradient descent

Machine learning fundamentals > Regression as illustrative example

• Update rule for one training sample:



- Multiple training samples:
  - Batch:

$$\theta_i \coloneqq \theta_i - \alpha \sum_{j=1}^m [h_{\theta}(\mathbf{x}^{(j)}) - \mathbf{y}^{(j)}] x_i^{(j)}$$

- Stochastic (incremental):

For j := 1 to m

$$\theta_i \coloneqq \theta_i - \alpha \big[ h_{\boldsymbol{\theta}} \big( \mathbf{x}^{(j)} \big) - \mathbf{y}^{(j)} \big] x_i^{(j)}$$





#### Maximum likelihood

Machine learning fundamentals > Regression as illustrative example

• Probabilistic interpretation:  $y^{(j)} = \mathbf{\Theta}^T \mathbf{x}^{(j)} + \varepsilon^{(j)}$   $\varepsilon^{(j)} \sim N(0, \sigma^2)$  IID

$$p(y^{(j)}|\mathbf{x}^{(j)}, \mathbf{\theta}) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{\left(y^{(j)} - \mathbf{\theta}^T \mathbf{x}^{(j)}\right)^2}{2\sigma^2}\right)$$

• Maximum likelihood:  $\theta_{MLE} = \underset{\theta}{\operatorname{argmax}} p(D|\theta)$ 

Maximize  $L(\mathbf{\theta}) = \prod_{j=1}^{m} p(y^{(j)} | \mathbf{x}^{(j)}, \mathbf{\theta})$ 

Maximize 
$$l(\boldsymbol{\theta}) = \log L(\boldsymbol{\theta}) = m \log \frac{1}{\sqrt{2\pi\sigma}} - \frac{1}{2\sigma^2} \sum_{j=1}^{m} (y^{(j)} - \boldsymbol{\theta}^T \mathbf{x}^{(j)})^2$$
  
To minimize (Least square equivalent to MLE + Gaussian noise model)

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Likelihood

Marginal likelihood

Parameter posterior

Prior

#### Maximum a posteriori

Machine learning fundamentals > Regression as illustrative example

- MAP estimator:
- Univariate case

Prior

imator:  

$$\theta_{MAP} = \underset{\theta}{\operatorname{argmax}} p(\theta|D) = \underset{\theta}{\operatorname{argmax}} \frac{p(D|\theta)p(\theta)}{p(D)}$$
te case:  
 $p(\theta) = \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{(\theta-\mu)^2}{2\sigma^2}\right) \sim N(\mu, 1)$ 

Maximize



- Regularization:
  - Ridge regression (L2), LASSO regression (L1), Elastic Net (L1+L2)





## Bayesian linear regression

Machine learning fundamentals > Regression as illustrative example

#### • Goal:

- For the moment, we only have a point estimate of  $p(\theta|D)$
- We want to have an analytical form of  $p(\mathbf{\theta}|D)$
- After some work (1-dim multivariate case):

Parameter posterior: 
$$\boldsymbol{\Theta}|D \sim N\left(\frac{1}{\sigma^2}\mathbf{A}^{-1}\mathbf{X}^T\mathbf{y}, \mathbf{A}^{-1}\right)$$
 with  $\mathbf{A} = \frac{1}{\sigma^2}\mathbf{X}^T\mathbf{X} + \frac{1}{\tau^2}\mathbf{I}$  and  $\boldsymbol{\Theta} \sim N(\mathbf{0}, \tau^2)$ 

Posterior predictive (using  $p(y_*|\mathbf{x}_*, D) = \int p(y_*|\mathbf{x}_*, \mathbf{\theta}) p(\mathbf{\theta}|D) d\mathbf{\theta}$ ):

$$y_* | \mathbf{x}_*, D \sim N\left(\frac{1}{\sigma^2} \mathbf{x}_*^T \mathbf{A}^{-1} \mathbf{X}^T \mathbf{y}, \mathbf{x}_*^T \mathbf{A}^{-1} \mathbf{x}_* + \sigma^2\right)$$

Normal equations when  $\tau \to 0$  , everything is fine



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### Gaussian process

Machine learning fundamentals > Regression as illustrative example

- Goal:
  - For the moment, we have the posterior predictive distribution for a linear IO relationship
  - We want to be able to model any kind of IO relationship
- Definition:
  - A Gaussian Process (GP) is a collection of random variables. Any finite set of the collection follows a joint Gaussian distribution.
  - Notation:  $f(\mathbf{x}) \sim GP(m(\mathbf{x}), k(\mathbf{x}, \mathbf{x}'))$  with k a covariance function (i.e., psd)
- Idea:
  - We compute a distribution over a function instead of a distribution over parameters
  - Direct link between the prior and the posterior predictive, no need to marginalize over parameters





(\*) The demonstration requires some time

## Gaussian process

Machine learning fundamentals > Regression as illustrative example

- Basic GP:  $\begin{bmatrix} \mathbf{y} \\ \mathbf{f}^* \end{bmatrix} \sim N\left(0, \begin{bmatrix} \mathbf{K} & \mathbf{K}_* \\ \mathbf{K}_*^T & \mathbf{K}_{**} \end{bmatrix}\right)$  with  $\mathbf{y} = \mathbf{f}$  the target vector and  $\mathbf{f}^*$  the testing output (prediction)
- Noisy GP:  $\begin{bmatrix} \mathbf{y} \\ \mathbf{f}^* \end{bmatrix} \sim N \left( 0, \begin{bmatrix} \mathbf{K} + \sigma^2 \mathbf{I} & \mathbf{K}_* \\ \mathbf{K}_*^T & \mathbf{K}_{**} \end{bmatrix} \right)$  with  $\mathbf{y} = \mathbf{f} + \mathbf{\epsilon}$  the target vector
- Using the multivariate Gaussian conditional distribution formula (\*) :

 $\mathbf{f}_*[\mathbf{x}_*, \mathbf{x}, \mathbf{y} \sim N(\mathbf{K}_*^T[\mathbf{K} + \sigma^2 \mathbf{I}]^{-1}\mathbf{y}, \mathbf{K}_{**} - \mathbf{K}_*^T[\mathbf{K} + \sigma^2 \mathbf{I}]^{-1}\mathbf{K}_*)$ 

- Covariance function (also called *kernels*):
  - Type: use the knowledge of inputs relationships (symmetry, ...)
  - Parameters: argmax  $p(\mathbf{y}|\mathbf{X})$  solved by gradient descent for example





## Neural networks

- Roadmap:
  - Generalized linear models
  - Logistic regression
  - Feed-forward neural networks
  - Bias-variance dilemma
  - Convolutional neural networks
  - Recurrent neural networks





# Generalized linear models (1-dim)

Feed-forward NN

• Exponential family

GLM

- Class of distributions  $p(y; \eta) = b(y) \exp(\eta^T T(y) a(\eta))$
- Gaussian (1)  $\eta = \mu$  (2) T(y) = y (3)  $a(\eta) = \eta^2/2$  (4)  $b(\eta) = (1/\sqrt{2\pi}) \exp(-y^2/2)$
- Bernoulli (1)  $\eta = \log(\phi/(1-\phi))$  (2) T(y) = y (3)  $a(\eta) = \log(1+e^{\eta})$  (4)  $b(\eta) = 1$
- Generalized linear model assumptions

Logisitic Reg.

- Exponential family:  $y|\mathbf{x}; \boldsymbol{\theta} \sim \text{ExponentialFamily}(\eta)$
- Given *x*, we want to predict  $E[T(y)|\mathbf{x}; \boldsymbol{\theta}]$
- Linear relationship (here 1-dim):  $\eta = \mathbf{\Theta}^T \mathbf{x}$
- Hypothesis
  - Gaussian  $h_{\theta}(\mathbf{x}) = E[y|\mathbf{x}; \theta] = \mu = \eta = \theta^T \mathbf{x}$
  - Bernoulli  $h_{\theta}(\mathbf{x}) = E[y|\mathbf{x}; \theta] = \phi = 1/(1 + e^{-\eta}) = 1/(1 + e^{-\theta^{T}\mathbf{x}})$



Natural parameter Sufficient statistic

Log partition function





 $\chi_1$ 

 $\chi_2$ 

 $\theta_1$ 

## Logistic regression

• Bernoulli distribution

logistic loss (cost)

- $p(y|\mathbf{x}; \mathbf{\theta}) = \phi^{y} (1 \phi)^{(1-y)}$  $h_{\mathbf{\theta}}(\mathbf{x}) = 1/(1 + e^{-\mathbf{\theta}^{T}\mathbf{x}}) = \phi$  $e(\mathbf{\theta}) = \phi^{y} (1 \phi)^{(1-y)}$
- Same form for the GD (result as expected):

 $\theta_i \coloneqq \theta_i - \alpha \big[ h_{\theta} \big( \mathbf{x}^{(j)} \big) - \mathbf{y}^{(j)} \big] x_i^{(j)} \quad , \forall i \in [[1, n]]$ 

• Perceptron algorithm

 $h_{\boldsymbol{\theta}}(\mathbf{x}) = \begin{cases} 1 & \text{if } \boldsymbol{\theta}^T \mathbf{x} \ge 0 \\ 0 & \text{if } \boldsymbol{\theta}^T \mathbf{x} < 0 \end{cases}$ 

- $x_n \qquad \theta_n$
- Newton (using the Hessian):  $\theta \coloneqq \theta H^{-1} \nabla_{\theta} l(\theta)$





GLM

#### Feed-forward neural network



$$\mathbf{z}_n = \mathbf{W}_n \mathbf{x}_{n-1} \qquad \mathbf{x}_n = \mathbf{f}(\mathbf{z}_n)$$

• Backpropagation Based on chain rule:  $\frac{\partial e}{\partial \mathbf{W}^{[1]}} = \frac{\partial e}{\partial \mathbf{y}} \frac{\partial \mathbf{y}}{\partial \mathbf{x}^{[2]}} \frac{\partial \mathbf{x}^{[1]}}{\partial \mathbf{x}^{[1]}} \frac{\partial \mathbf{x}^{[1]}}{\partial \mathbf{W}^{[1]}}$ 

- Terms:
  - Weights, activation or transfer functions
- Universality:
  - Finite single hidden layer networks can theoritically compute any continuous function
- In practice:
  - Normalize and decorrelate inputs, tangent hyperbolic, learning rate per weight, momentum, seocndorder methods, training and test set





GLM

## Bias-variance dilemma

- Mean square error of an estimator  $mse(\hat{y}) = E[(\hat{y} y)^2|y] = bias(\hat{y})^2 + var(\hat{y})$
- Solution (among others) for model selection



- For neural networks:
  - Training (70%) / validation (15%)/ test (15%) split





GLM

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## Convolutional neural networks

• Deep neural nets suffer from the vanishing/exploiding gradient problem

- From chain rule  $\frac{\partial \mathbf{x}_n}{\partial \mathbf{x}_{n-1}} = \mathbf{W}_n^T \mathbf{f}'(\mathbf{z}_n)$  has an important role with many layers

- Convolutional neural nets:
  - Not fully connected nets and weight sharing
  - Rectified linear unit (ReLU) layers





## Recurrent neural networks



- Backprop through time highly sensible to vanishing/exploiding gradient
- Solutions

GLM

- Truncate backprop:
  - Different time delays
  - Elman network, Jordan networks
- LSTM: constant error carousel + forget gate







## Theories of machine learning

- Statistical learning theory
  - Given the number of samples and hypothesis space, what is the generalization error bound w.r.t. training error ?
- Computational learning theory
  - Given the hypothesis space and the generalization error, how many training samples are required ?
  - Probably approximately correct (PAC) learning algorithm





# Agenda

- Machine learning (ML) fundamentals
- ML in practice: Cognitive power control
  - LTE resource allocation and cognitive power control
  - A typical ML workflow and data management
  - Power trajectories and ideal power saving
  - Neural network predictor
  - Reinforcement learning predictor





### LTE resource allocation

Machine learning in practice



- Every millisecond, the PDCCH should be decoded:
  - Scenario 1: The UE has found a grant in the PDCCH and will use it to receive or transmit payload.
  - Scenario 2: There is no grant in the PDCCH and power has been used in vain to decode the PDCCH.



## Cognitive power control

Machine learning in practice

- If a UE knows in advance that it won't receive any grants in the next millisecond, it can **avoid PDCCH decoding**, and therefore save power.
- The base station MAC scheduler distributes payload data and grants
  - From UE perspective, **non-deterministic Cognitive UE** traffic timing patterns Observe Predict 0 1 1 1 1 Grant 1 0 0 1 1 0 Modulation Coding Scheme **Transport Block Size** Retransmission  $\leftrightarrow$ 1 ms **Observation Window** (10 ms)





# A typical ML workflow

Machine learning in practice

1. Data collection Streaming data, simulated/live network data, meta-parameter definition and collection, storage.

2. Data preprocessing Efficient data format for queries, split into chunks according markers, format dependent.

3. Feature extraction No differences between formats at the end of this step, need to be able to communicate with experts.

4. Feature preprocessing Data splitting if needed before, normalize and clean features, training set should be obtained.

5. Feature selection Dimensionality reduction algorithms, or automated feature selection via regularization.

6. Model training Choice of the algorithms (supervised learning, reinforcement learning, ...)

7. Model evaluation

on Choice of the algorithms (supervised

learning, reinforcement learning, ...)



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#### Observations:

- Machine learning is inherently an **iterative** exploration
- Efficient **infrastructure** needed (step 1 and 2)
- Expert knowledge is mandatory (step 3)
- Always prepare for scalability (step 6)
- Visualize and analyze samples (step 3, 4 and 7)
- Manage meta-parameters (step 1, 2 and 7)



#### Data management

Machine learning in practice

#### Summary

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Examples

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Manual

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## Power trajectories

Machine learning in practice

- **Goal** Estimation of the power saving enabled by a ML algorithm at design time without demonstrator. [14]
- (d) Power Pa Power consumption of (a) Standard (c) Power Consumption standard behavior Consumption Power Outcome, of Predicted Consumption,  $P_d$  $P_b$ Power saving potential Traffic,  $P_a$  $P_c$  $P_{c}$ Power saving with Computational Genius including prediction Prediction Cost of Non-Ideal errors Assumption Prediction Predictor (b) Ideal Power  $P_d$ Output Total estimated power Consumption, saving  $P_{b}$





### Modem trace data set

Machine learning in practice

**Goal** Data set from Intel<sup>®</sup> XMM<sup>™</sup> 7480 Modem for LTE-Advanced Services [15] trace server

(6 PB/week; 1 trace ~ 500 MB)

• Radio conditions (far cell, near cell, middle)

- Different places and operators
- Traffic type (FTP DL, FTP UL, FTP UL/DL)
- **Other requirements** (e.g., SW build, CA config)
- **73 traces** selected from ~100000 traces







## Ideal power saving

Machine learning in practice

**Goal** Estimation of the ideal power saving given live network traces assuming genius prediction



- FTP DL traces are more promising than FTP UL ones due to the large power contribution of UL payload data transmission
- **Bad RF conditions** lead to a more sporadic reception, i.e., more power saving opportunities
- Up to **12% modem power saving** potential by optimizing PDCCH monitoring





## **Prediction approach**

Machine learning in practice



**Linear output activation function**: Better separability \_

- Cost-sensitive classifier
  - Cost imbalance between false negatives and false positives, i.e., missing a grant implies throughput degradation.

#### - **Cost-sensitive classification** uses decision theoritic approach to define a threshold on the neural network output





#### 2% mean FNR



#### Parameter selection

- Relevant parameters to infer scheduling
  - Modulation coding scheme
  - Number of resource blocks
  - Re-transmission occurrences

## System design

- Computational complexity
  - Typical baseband DSP at 300 MHz
  - Power consumption of 1 mW/MHz [1]
  - No instruction optimizations: SIMD, vector floating point unit
  - 5 kFLOPs for one prediction: 2 % of a typical DSP time budget
  - 5 GFLOPs for training: Other approaches should consider the **online/offline training trade-off**
- Increase of the classical EDA complexity
  - Area vs. power vs. delay vs. tolerated error rate (and its impact on the overall system)
  - Account for the undeterministic nature of such system, assess the reliability of simulated data
- Synergies among ML applications
  - Exploitation of the similarities between classical machine learning algorithms

Arithmetic Operation	Complexity		
Addition	1 FLOP		
Subtraction	1 FLOP		
Multiplication	2 FLOPs		
Division	4 FLOPs		
Exponential	8 FLOPs		





#### Supervised predictor performance

Machine learning in practice



- Main results
  - 12% maximal potential power saving
  - 2% mean FNR
  - 2% DSP time budget
  - 1,7% mean power increase compared to ideal power consumption
  - Traffic dependent performance but promising results for well-defined traffic scenarios





# Reinforcement learning approach [16]

Machine learning in practice

- Variable cell behavior:
  - Online training, but high power consumption for NN
- NS3 simulator:
  - No live network testing possible
- Q-learning:
  - Light-weight through tabular representation, e.g. Q-learning









## Q-learning

Machine learning in practice





CONFERENCE AND EXHIBITION

ROP

## Conclusion

- Machine learning system
  - Built with data, statistical tools, robust workflow and expert knowledge
- Machine learning for power saving
  - Scenario-specific trace data collection
  - Power model at dedicated abstraction level
  - Power consumption estimation of ML algorithms at design time
  - Power trajectories for end-to-end power saving estimation
- Cognitive power control outlook
  - Qualify and quantify **network reactions** with network simulator
  - Online/offline trade-off through reinforcement learning
  - Accuracy improvement with traffic classifier, statistical modeling and LSTM
  - Divide-and-conquer approach with federated learning and trace segmentation





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#### Questions



